

INFORMATION DISCLOSURE STATEMENT

| | DOCKET NUMBER <u>YKI-0014</u> SERIAL NUMBER INVENTOR: KOJI SUZUKI TITLE REFLEC | - | 9/364,159 FILED 07/30/99 E TYPE LIOUID CRYSTAL | |
|---|---|-----------------------------------|--|--|
| | LAY DEVICE HAVING TWO-LAYER DISPLAY | | | |
| DIGE | EXAMINER ANDREW M. SO | | | |
| 1. | Applicant submits herewith a copy of (a) attached form PTO-1449, which list submitted for consideration by the Office; (b) a legible copy of each document | s all pa | tents, publications, applications, or other information by 37 C.F.R. §1.98(b)(2). | |
| 2. /30 | Applicant herein apprises the Patent Office of references cited in a parent U.S. applifiling date under 35 U.S.C. §120. The Serial Number of the parent application filed and entitled and entitled The publications cited therein are listed on attached Form PTO-1449. In accordance not required Additional parent patent applications are listed on an attached form PTO-1449. | is U.S. A | Applicationith 37 C.F.R. §1.98(d) copies of the listed publications | |
| 3. 🗹 | In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for each A copy of a translation of one or more non-English document, or portion A concise explanation is (check at least one box below): provided in the accompanying foreign or international search report; | thereof, | is provided herewith; | |
| 37 C.F.R. 4. □ | §1.97(b)(1), (b)(2) – WITHIN 3 MONTHS OF FILING OR ENTRY IN NATIONAL Since this Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an in §1.97(e) is required. | the filing | g date of the subject application or within three months | |
| 37 C.F.R. 5. © 2∕ | §1.97(b)(3), (b)(4) – PRIOR TO MAILING OF FIRST OFFICE ACTION OR FIRST A Since this Information Disclosure Statement is being filed before the mailing day of a first Office action after the filing of a request for continued examination up §1.97(e) is required. | ate of the | first Office Action on the merits, or before the mailing | |
| 37 C.F. R 6. □ a. b. | . §1.97(c) – AFTER FIRST ACTION, BEFORE FINAL ACTION OR ALLOWAN Since this Information Disclosure Statement is being filed outside of the period of a Final Rejection or Notice of Allowance, this submission is being accompa the fee required under 37 C.F.R. §1.97(c)(2) and specified in 37 C.F.R. §1.97(e) (Box 8 or 9 must be checked) | provided nied by (1.17(p). | one of the following boxes must be checked): | |
| 37 C.F.R. 7. □ | §1.97(d) – AFTER FINAL REJECTION OR ALLOWANCE Since this Information Disclosure Statement is being filed after the period specifiee and is accompanied by both the statement specified in 37 C.F.R. §1.97(e) be checked.) | ied in 37 and the | C.F.R. §1.97(c), but on or before payment of the issue fee set forth in 37 C.F.R. §1.17(p). (Box 8 or 9 must | |
| 8. 🗖 | In accordance with 37 C.F.R. §1.97(e)(1), Applicant's attorney certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. The foreign or international search report(s) is/are enclosed. | | | |
| 9. 🗖 | In accordance with 37 C.F.R. §1.97(e)(2), Applicant's attorney certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filling of the information disclosure statement. | | | |
| 10. 😰 | In the event the Commissioner of Patents deems that any additional fee is recapplication, Applicant's attorneys authorize that such fee be charged to Depos | quired ur it Accou | nder 37 C.F.R. §§ 1.16 or 1.17 in connection with this nt No. 06-1130. | |
| 11. Cor | sideration of this Information Disclosure Statement is respectfully requested. | | | |
| N | ame: Joe/ To Charlton, | Registr | ration Number 52,721 | |
| Sign | ature belt Malker | Date | January 21, 2005 | |
| CERTIFICATE OF MAILING OR TRANSMISSION: I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or [] facsimile transmitted to the U.S. Patent and Trademark Office to Facsimile No on the date shown below. | | | | |
| Name Nancy K. Wilson | | | | |
| Sign | ature Maney K. Wulson | Date | January 21, 2005 | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

KOJI SUZUK

Serial No.:

09/364,159

Filing Date:

07/30/99

Group Art Unit: 2871

Examiner: Andrew M. Schechter

For:

REFLECTIVE TYPE LIQUID CRYSTAL

DISPLAY DEVICE HAVING TWO-LAYER) **DISPLAY ELECTRODES**

Mail Stop: Issue Fee Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

Joel T. Charlton

Registration No. 52,721

Date:

January 21, 2005

Customer No.: 23413

Telephone:

(860) 286-2929

| Applicant(s) (Use stockal sheets if necessary) U.S. PATENT DOCUMENTS *EXAMINER INITIAL 6,011,605 Applicant(s) Koji Suzuki et al. Filing Date 07/30/99 U.S. PATENT DOCUMENTS *EXAMINER INITIAL 6,011,605 Mizuno et al. | 2871 | | | | |
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| 6,011,605 01/04/2000 Mizuno et al. | | | | | |
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| U.S. PATENT APPLICATION PUBLICATIONS | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | |
| REF DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLA | SS Translation YES NO | | | | |
| JP 11242240 9/7/99 Japan | | | | | |
| JP 11109394 4/23/99 Japan | | | | | |
| JP 8179252 7/12/96 Japan | | | | | |
| JP 10082995 03/31/98 Japan | | | | | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Page | es, Etc.) | | | | |
| Copy of Office Action for Japanese Patent Application No. Hei 10-218192 dated 05/07/2004 | | | | | |
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| EXAMINER DATE CONSIDERED | DATE CONSIDERED | | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation not considered. Include copy of this form with next communication to applicant. | if not in conformance and | | | | |